## SEC Form 4

## FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

igton, D.C. 20549

OMB APPROVAL

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obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person\* (Check all applicable) CROWN HOLDINGS INC [ CCK ] NOVAES DJALMA JR Director 10% Owner Officer (give title Other (specify Х below) 3. Date of Earliest Transaction (Month/Day/Year) below) (Middle) (Last) (First) 01/05/2022 President - Americas Division 770 TOWNSHIP LINE ROAD 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Line) YARDLEY 19067 X Form filed by One Reporting Person PA Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5. Amount of 6. Ownership Form: Direct 7. Nature 1. Title of Security (Instr. 3) 2A. Deemed Transaction of Indirect Execution Date. Securities Code (Instr. (Month/Day/Year if any 5) Beneficially (D) or Indirect Beneficial

		(Month/Day/Year)	8)					Reported	(I) (Instr. 4)	(Instr. 4)
				v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common	01/05/2022		Α		10,277(1)	Α	\$ <mark>0</mark>	87,750	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(5-,	,			,					,		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instri and S	vative rities nired r osed ) r. 3, 4	Expiration Date (Month/Day/Year) d		Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

## Explanation of Responses:

1. The Reporting Person was granted 10,277 shares of Restricted Common Stock under the 2013 Stock-Based Compensation Plan. 3,507 time-vested restricted shares vest over a three year period as follows: 1,169 time-vested restricted shares on January 5, 2023 and 2024, respectively, and 1,169 time-vested restricted shares on January 6, 2025. 3,263 performance-based restricted shares are targeted to vest on January 6, 2025 based on the Company's Total Shareholder Return versus a defined peer group of companies, with the final number of performance-based vested shares varying from 0 to 200% of 3,263. 3,507 performance-based vested shares are targeted to vest on January 6, 2025 based on the Return on Invested Capital achieved by the Company compared to the ROIC target, with the final number of performance-based vested shares varying from 0 to 3,507.

<u>/s/ Rosemary Haselroth, by</u>	
	01/07/2022
Power of Attorney	

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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